



Stage 3 Solution Analysis

California Department of Technology, SIMM 19C.2 (Rev. 3.0.7, 2/28/2022)

3.1 General Information

1. Agency or State Entity Name: 1115 - Department of Cannabis Control

If Agency/entity is not in the list, then enter here. [Click or tap here to enter text.](#)

2. Proposal Name: Cannabis Data Warehouse and Business Intelligence Reporting Tool

3. Department of Technology Project Number (0000-000): 1115-004

4. S3SD Version Number: Version 1

5. CDT Billing Case Number: CS0064106

Don't have a Case Number? [Click here to get one.](#)

3.2 Submittal Information

1. Contact Information

Contact Name: [Sean O'Connor](#)

Contact Email: Sean.O'Connor@cannabis.ca.gov

Contact Phone: [916-282-0384](#)

2. Submission Type: New Submission

If Withdraw, select Reason: [Choose an item.](#)

If Other, specify reason here: [Click or tap here to enter text.](#)

Sections Changed if an update or resubmission: (List all the sections that changed.)

[Click or tap here to enter text.](#)

Summary of Changes: (Summarize updates made.)

[Click or tap here to enter text.](#)

3. **Attach [Project Approval Executive Transmittal](#)** to your email submission.
4. **Attach updated [Procurement Assessment Form](#)** with Stage 3 information to your email submission.
5. **Conditions from Stage 2 Approval** (Enter any conditions from the Stage 2 Alternatives Analysis approval letter issued by CDT):

[Click or tap here to enter text.](#)

3.3 Detailed Solution Requirements and Outcomes

1. **Attach detailed** Requirements and Outcomes documents including traceability matrix to the email submission. Include any changed or updated requirements.

2. **Stage 2 Requirements and Outcomes Changes**

Since approval of the Stage 2 Alternatives Analysis, has the agency/state entity developed or modified any requirements and/or outcomes that were not represented in Stage 2? **No**

If “Yes,” explain:

[Click or tap here to enter text.](#)

If “Yes,” please describe the nature and scope of the change(s) and how the requirements and/or outcomes align with the business objectives established in the Stage 1 Business Analysis:

[Click or tap here to enter text.](#)

3. **Attach** the updated To-Be Workflow(s) to your email submission.

If To-Be business process workflow(s) is not attached, explain why:

[Click or tap here to enter text.](#)

4. **Attach** the Statement of Work to your email submission. [Statement of work for this project has been uploaded to CDT’s Solicitation Builder. Working with CDT’s Office of Statewide Project Delivery Project Approval’s and Oversight team to complete the Statement of Work.](#)

3.4 Project and Procurements Roadmap

Attach a Project and Procurements Roadmap or Summary to the submission.

The roadmap or summary should include both the primary and all ancillary procurements.

3.5 Project Planning

Are the following Project Management Plans or artifacts completed to the required level, approved by the designated agency/state entity authority, and available for the Department of Technology to

review? **Choose:** 'Yes,' 'No,' or 'Not Applicable.' If 'No' or 'Not Applicable,' provide the artifact status in the space provided.

[Project Management Plan \(Draft\):No](#)

Status: Plan is in progress and will be submitted with Stage 4.

[Risk Management Plan \(Approved\):No](#)

Status: Plan is in progress and will be submitted with Stage 4.

[Issue and Action Item Management Plan \(Approved\):No](#)

Status: Plan is in progress and will be submitted with Stage 4.

[Change Control Management Plan \(Approved\):Not applicable](#)

Status: Departmental IT Governance Committee will serve as change control.

[Quality Management Plan \(Approved\):Not applicable](#)

Status: Click or tap here to enter text.

[Testing Management Plan \(Approved\):No](#)

Status: Plan will be developed during implementation phase.

[Security Management Plan \(Approved\):Not applicable](#)

Status: Click or tap here to enter text.

[Contract Management Plan \(Updated Draft\):Not applicable](#)

Status: Click or tap here to enter text.

[Other \(enter name\) Click here to enter text. Plan:Not applicable](#)

Status: Click or tap here to enter text.

3.6 Primary Solicitation

Attach the Primary Solicitation document to your email submission.

For a standard procurement, please attach a copy of your IFB, RFP, or RFO.

For a challenge-based procurement, please attach a copy of the solicitation.

3.7 Ancillary Procurements

1. **Attach** all in-progress and completed ancillary procurement documents to your email submission.
2. Has the project begun procurement activities for Independent Verification and Validation (IV&V) services per the State Administrative Manual Section 4940.3? [Yes](#)

If “Yes,” indicate the planned start date for IV&V services below:

[3/28/2024](#)

If “No” or “Not applicable,” provide a brief explanation below:

3. Provide the following information for each of your ancillary procurements:

Service Type: [Choose an item.](#)

If “Other,” specify:

Roles/Responsibilities or Tasks:

Status: [Choose an item.](#)

CDT STP Conducted: [Choose Yes or No.](#)

Procurement Type: [Choose an item.](#)

If “Other,” specify: [Click or tap here to enter text.](#)

Length of Contract:

TIP: Copy and paste or click the + button in the lower right corner of the ancillary procurement items to add Services (for different Service Types or Lengths of Contract) with all additional information as needed.

End of agency/state entity document.

Please ensure ADA compliance before submitting this document to CDT.

When ready, submit Stage 3 and all attachments in an email to ProjectOversight@state.ca.gov.

TIP: Review the Gate 3 Project Management Planning Evaluation Scorecard to ensure a complete submission. [GATE 3 Evaluation Scorecard](#)

Department of Technology Use Only

Original “New Submission” Date: [2/22/2024](#)

Form Received Date: [2/22/2024](#)

Form Accepted Date: [2/22/2024](#)

Form Status: [In Analysis](#)

Form Status Date: [2/22/2024](#)

Form Disposition: [Choose an item.](#)

Form Disposition Date: [Click or tap to enter a date.](#)