



Stage 3 Solution Development (Part A)

Department of Technology, SIMM 19C, Revision 9/8/2016



How to Attach File and Insert Section.p

(Embedded PDF instructions describe how to attach files and/or insert repeating sections.)

Insert File 1

3.4 General Information

Agency or State Entity Name: California Department of State Hospitals

Organization Code: 4440

Proposal Name: Pharmacy Modernization

Department of Technology Project Number: 4440-127

3.5 Part A Submittal Information

Contact Information:

Contact First Name:

Kim

Contact Last Name:

Vu

Contact Email:

Kim.Vu@dsh.ca.gov

Contact Phone Number:

916.562.3545

Part A Submission Date:

Date Picker

Part A Submission Type:

New Submission

Updated Submission (Pre-Approval)

Updated Submission (Post-Approval)

Withdraw Submission

Reason: Select...

If "Other," specify:

Part A Sections Updated (For Updated Submissions only, check all that apply)

3.4 General Information

3.5 Part A Submittal Information

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3.6.2 Solicitation Method

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Part A Summary of Changes:

Changes were initiated during the development of the procurement package. Updates were made in the Stage 3 deliverables for consistency.

Part A Project Approval Executive Transmittal

Attachment: (File Attachment)

Condition(s) from Previous Stage(s):

Condition #1

Condition Category

Other

If "Other," specify: 3-General comment

Condition Sub-Category

Select...

If "Other," specify:

Condition

Make the data elements very clear in documentation so that CDT understands the IT components and capabilities of this effort.

Assessment

Mitigation

If "Other," specify:

Agency/state Entity Response

The Data Migration and Conversion plan identifies all data sources including paper records and spreadsheets (primary source) and the plan to determine what data and reporting the new system must provide. This document also identifies the Legacy systems (Physicians Ordering System and Admissions, Discharge and Transfers) that are out of scope of this project.

Status

Completed

If "Other," specify:

Condition #2

Condition Category

Procurement

If "Other," specify:

Condition Sub-Category

Change Management

If "Other," specify:

Condition

Include the most enthusiastic hospitals as part of the initial implementation and incorporate OCM that can generate buy-in and help with each additional implementation.

Assessment

Mitigation

If "Other," specify:

Agency/state Entity Response	<p>All hospital Pharmacy and clinical staff have been heavily involved in this project since the beginning and are very enthusiastic for its implementation.</p> <p>We are working with the hospitals to determine the best order in which to schedule the implementations with time to examine lessons learned and how best to apply them to the next site implementation.</p> <p>The implementations are intentionally staggered for this reason. If it is determined that any implementation may begin earlier than its target start date, the project team will work with the selected vendor to accomplish that.</p>	
Status	Completed	If "Other," specify:

Insert Condition

3.6 Procurement Profile

3.6.1 Solicitation Identifier

Primary
 Ancillary
 No Procurement

Department of General Services (DGS) Delegated Purchasing Authority:
 Over
 Under

Solicitation Title: Pharmacy Modernization RFP

3.6.2 Solicitation Method

Solicitation Method	Formal Competitive Solicitation (IFB/RFP)	If "Other," specify:
Anticipated Amount	\$4,152,000	
Conducted By	Department of Technology	If "Other," specify:
Development Status	In Progress	
Solicitation Number		

3.6.3 Procurement Scope Statement

This Statement of Work (SOW) reflects the products and services to be provided by the proposed contractor for the Pharmacy Modernization project at each of the five (5) hospitals within the Department of State Hospitals (DSH) organization. The Contractor shall provide a Commercial Off-the-Shelf Software (COTS) integrated, hardware and software solution that will include Inventory Management, Repackage equipment, Automated Drug Dispensing Systems (ADDS), software licenses and the services required to support, configure, implement and train DSH staff at each of the five state hospitals

3.6.4 Solicitation Contact

Contact First Name:	Contact Last Name:
Kim	Vu
Contact Email:	Contact Phone Number:
Kim.Vu@dsh.ca.gov	916.562.3545

3.6.5 Anticipated Length of Contract

Contract Start Date: 5/14/2021
Contract End Date: 3/31/2023
Optional Years:
Contract Start Date: 4/01/2023
Contract End Date: 6/30/2025

3.6.1 Solicitation Identifier

Primary Ancillary No Procurement

Department of General Services (DGS) Delegated Purchasing Authority: Over Under

Solicitation Title: Pharmacy Modernization Consultants

3.6.2 Solicitation Method

Solicitation Method	Other	If "Other," specify: Extension of contract executed during planning of this project
Anticipated Amount	\$1,133,000	
Conducted By	Agency/state entity	If "Other," specify:
Development Status	In Progress	
Solicitation Number		

3.6.3 Procurement Scope Statement

Consulting staff, Enterprise Service Bus architect and Technical Business Analyst

3.6.4 Solicitation Contact

Contact First Name:	Contact Last Name:
Kim	Vu
Contact Email:	Contact Phone Number:
Kim.Vu@dsh.ca.gov	916.562.3545

3.6.5 Anticipated Length of Contract

Contract Start Date: 6/20/2020
Contract End Date: 6/30/2022
Optional Years:
Contract Start Date:
Contract End Date:

3.6.1 Solicitation Identifier

Primary Ancillary No Procurement

Department of General Services (DGS) Delegated Purchasing Authority: Over Under

Solicitation Title: Pharmacy Modernization Consultants

3.6.2 Solicitation Method

Solicitation Method	Other	If "Other," specify: Extension of contract executed during planning of this project
Anticipated Amount	\$697,001	
Conducted By	Agency/state entity	If "Other," specify:
Development Status	In Progress	
Solicitation Number		

3.6.3 Procurement Scope Statement

Project Management

3.6.4 Solicitation Contact

Contact First Name:

Kim

Contact Last Name:

Vu

Contact Email:

Kim.Vu@dsh.ca.gov

Contact Phone Number:

916.562.3545

3.6.5 Anticipated Length of Contract

Contract Start Date: 6/20/2020

Contract End Date: 6/30/2022

Optional Years:

Contract Start Date:

Contract End Date:

3.6.1 Solicitation Identifier

Primary Ancillary No Procurement

Department of General Services (DGS) Delegated Purchasing Authority:

Over Under

Solicitation Title: IV&V

3.6.2 Solicitation Method

Solicitation Method

Request for Information RFO

If "Other," specify:

Anticipated Amount

\$427,000

Conducted By

Agency/state entity

If "Other," specify:

Development Status

Not Started

Solicitation Number

3.6.3 Procurement Scope Statement

IV&V Services

3.6.4 Solicitation Contact

Contact First Name:

Kim

Contact Last Name:

Vu

Contact Email:

Kim.Vu@dsh.ca.gov

Contact Phone Number:

916.562.3545

3.6.5 Anticipated Length of Contract

Contract Start Date: 4/01/2021

Contract End Date: 6/30/2022

Optional Years:

Contract Start Date: Date Picker

Contract End Date: Date Picker

3.6.1 Solicitation Identifier

Primary Ancillary No Procurement

Department of General Services (DGS) Delegated Purchasing Authority: Over Under

Solicitation Title: OCM

3.6.2 Solicitation Method

Solicitation Method	Request for Information RFO	If "Other," specify:
Anticipated Amount	\$43,000	
Conducted By	Agency/state entity	If "Other," specify:
Development Status	Not Started	
Solicitation Number		

3.6.3 Procurement Scope Statement

OCM Services

3.6.4 Solicitation Contact

Contact First Name:	Contact Last Name:
Kim	Vu
Contact Email:	Contact Phone Number:
Kim.Vu@dsh.ca.gov	916.562.3545

3.6.5 Anticipated Length of Contract

Contract Start Date: 4/01/2021

Contract End Date: 6/30/2022

Optional Years:

Date Picker

Date Picker

3.6.1 Solicitation Identifier

Primary Ancillary No Procurement

Department of General Services (DGS) Delegated Purchasing Authority: Over Under

Solicitation Title: Hardware Purchase

3.6.2 Solicitation Method

Solicitation Method	Other	If "Other," specify: Hardware purchase of servers
Anticipated Amount	\$257,000	
Conducted By	Agency/state entity	If "Other," specify:
Development Status	Not Started	
Solicitation Number		

3.6.3 Procurement Scope Statement

Hardware equipment to support redundancy for Inventory Management System

3.6.4 Solicitation Contact

Contact First Name:	Contact Last Name:
Kim	Vu
Contact Email:	Contact Phone Number:
Kim.Vu@dsh.ca.gov	916.562.3545

3.6.5 Anticipated Length of Contract

Contract Start Date: 7/01/2021
Contract End Date: 6/30/2023
Optional Years:
 Contract Start Date:
 Contract End Date:

3.6.6 Anticipated Solicitation Key Action Dates

Activity:	Release of Solicitation	If "Other," specify:	
Start Date:	1/5/2021		
End Date:	1/5/2021		
Number of Business Days:	1		
Activity:	Last day to submit Exhibit 2: Intent to Bid and Exhibit 3: Confidentiality Statement (signed)	If "Other," specify:	
Start Date:	1/11/2021		
End Date:	1/11/2021		
Number of Business Days:	1		
Activity:	Other	If "Other," specify:	Bidder's site visit request....
Start Date:	1/11/2021		
End Date:	1/11/2021		
Number of Business Days:	1		
Activity:	Last day to submit written questions using Attachment 1 and request changes to requirements using Attachment 2	If "Other," specify:
Start Date:	1/20/2021		
End Date:	1/26/2021		
Number of Business Days:	5		
Activity:	State's response to bidder's questions, bidder's request for changes to the requirements and release of potential addendum (after written questions)	If "Other," specify:
Start Date:	1/27/2021		
End Date:	2/17/2021		
Number of Business Days:	15		
Activity:	Last day to submit Final Proposals	If "Other," specify:
Start Date:	2/18/2021		
End Date:	3/10/2021		
Number of Business Days:	15		
Activity:	Evaluation Period	If "Other," specify:
Start Date:	2/11/2021		

End Date:	3/24/2021	
Number of Business Days:	10	
Activity:	Negotiation Period	If "Other," specify:
Start Date:	3/25/2021	
End Date:	4/22/2021	
Number of Business Days:	29	
Activity:	Contract Award	If "Other," specify:
Start Date:	5/5/2021	
End Date:	5/5/2021	
Number of Business Days:	1	
Activity:	Contract Execution	If "Other," specify:
Start Date:	5/13/2021	
End Date:	5/13/2021	
Number of Business Days:	1	
Activity:	Contractor begins work	If "Other," specify:
Start Date:	5/14/2021	
End Date:	3/31/2023	
Number of Business Days:	912	

Insert Solicitation Key Action Date

Insert Solicitation Key Action Date

Insert Solicitation

3.7 Stage 3 Solution Requirements

3.7.1 Stage 3 Solution Requirements Template

Attachment: (File Attachment)

3.7.2 Stage 3 Requirements Count

Total Detailed Functional Requirements:	185
Total Detailed Non-Functional Requirements:	62
Total Detailed Project/Transition Requirements:	00014
Detailed Requirements Grand Total:	000261

3.7.3 Stage 2 Mid-Level Solution Requirement Changes

Yes **No**

1. Since approval of the Stage 2 Alternatives Analysis, has the Agency/state entity developed any new solution requirements that were not represented in the mid-level solution requirements?

2. Since approval of the Stage 2 Alternatives Analysis, has the Agency/state entity modified (changed or deleted) any mid-level solution requirements?

If question 1 or 2 above is "Yes":

Percentage of
Change:
57 %

- Enter the percentage of change in the space provided
- Describe below the nature and scope of the change(s), impact(s) to the recommended solution, and how requirements align with the business objectives established in the Stage 1 Business Analysis:
 - *The increase in requirements reflects decomposing the mid-level requirements into more mature requirements and includes detailed report requirements which were included as a single requirement in the mid-level requirement set.*
 - *During the development of the IFB, the security requirements were redone. They are captured in a separate document with an accompanying crosswalk. The current PAL Stage 3 Solution Requirements document has the Security requirements lined out.*

3.7.4 To-Be Business Process Workflow

Attachment: (File Attachment)

If a to-be business process workflow is not attached, explain why below:



To-Be Medication Dispensing & Security Inventory Work Flow
To-Be Medication Order Processing W
To-Be Medication Billing Work Flow
To-Be Patient Billing Work Flow .pdf

3.8 Statement of Work (SOW)

Attachment: (File Attachment)

It will be an attachment as this template is not allowing the SOW .pdf to be inserted

3.8.1 Completed SOW Sections

- Completed SOW Section: 1. Background and Purpose
 - Completed SOW Section: 2. Description of Proposed New System or Service
 - Completed SOW Section: 3. Term of the Contract
 - Completed SOW Section: 5. Solution Requirements
 - Completed SOW Section: 7. State's Roles and Responsibilities
 - Completed SOW Section: 8. Contractor's Roles and Responsibilities
 - Completed SOW Section: 11. Escalation Process
 - Completed SOW Section: 12. Change Control Procedures
 - Completed SOW Section: 13. Project (Contractor) Tasks and Deliverable Requirements
 - Completed SOW Section: 14. Deliverable Acceptance/Rejection Process
 - Completed SOW Section: 15. Data Handling and Ownership
 - Completed SOW Section: 16. Reporting
 - Completed SOW Section: 17. Security
 - Completed SOW Section: 18. Disaster Recovery
 - Completed SOW Section: 19. Delivery (Hardware and Software)
 - Completed SOW Section: 22. Compatibility and Interface
 - Completed SOW Section: 23. System Installation
 - Completed SOW Section: 24. System Implementation or Integration
 - Completed SOW Section: 26. System Testing and Acceptance Procedures
 - Completed SOW Section: 27. Transition of Operation to New Contractor or to State
 - Completed SOW Section: 28. Knowledge Transfer and/or Training
 - Completed SOW Section: 29. Maintenance and Operations (M&O)
 - Completed SOW Section: 32. Warranty
 - Completed SOW Section: 34. Liquidated Damages
 - Completed SOW Section: 35. Unanticipated Tasks
- Insert Completed SOW Section

Requirement naming convention legend:

Completed SOW Section:	14. Deliverable Acceptance/Rejection Process
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see section 14 Deliverable Acceptance/Rejection Process in the Statement of Work for a complete description of this section.
Responsible:	Both
Performance Deliverable:	<input type="checkbox"/>
Requirement Number(s):	PTM-01 thru PTM-14
Methodology/Approach:	Dictated by policy If "Other," specify:
Solicitation Number: (If applicable)	
Completed SOW Section:	15. Data Handling and Ownership
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see section 15 Data Handling and Ownership in the Statement of Work for a complete description of this section
Responsible:	Both
Performance Deliverable:	<input checked="" type="checkbox"/>
Requirement Number(s):	BNFM-01 thru BNFM-02;DNFM -01 Thru DNFM-20
Methodology/Approach:	Dictated by policy If "Other," specify:
Solicitation Number: (If applicable)	
Completed SOW Section:	17.Security
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please refer to the Security requirements in Exhibit 18 Solution Requirements, of the Statement of Work. Security requirements were provided by the Department of State Hospitals Security group.
Responsible:	Both
Performance Deliverable:	<input checked="" type="checkbox"/>
Requirement Number(s):	SNFM.01 – SNFM-71 SNFM-24 specifically for NIST 800-53
Methodology/Approach:	Dictated by policy If "Other," specify:
Solicitation Number: (If applicable)	
Completed SOW Section:	18. Disaster Recovery
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please refer to Section 18 Disaster Recovery and Exhibit 18 Solution Requiements, of the Statement of Work.
Responsible:	Both
Performance Deliverable:	<input type="checkbox"/>
Requirement Number(s):	SNFM-11 thruSNFM-13

Methodology/Approach:	Select...	If "Other," specify:
Solicitation Number: (If applicable)		
Completed SOW Section:	19. Delivery (Hardware and Software)	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 19 Delivery (Hardware and Software) in the Statement of Work and Exhibit 20 Cost Worksheets of Part 1 of the Information for Bid document for individual hospital detail..	
Responsible:	State	
Performance Deliverable:	<input checked="" type="checkbox"/>	
Requirement Number(s):	BNFM-01, BNFM-02;INFM-01;DNFM-01 thru DNFM-20;SNFM-01 thru SNFM-71	
Methodology/Approach:	Other	If "Other," specify: Architecture requirements
Solicitation Number: (If applicable)		
Solicitation Number: (If applicable)		
Completed SOW Section:	22. Compatibility and Interface	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 22 Compatibility and Interface in the Statement of Work.	
Responsible:	Both	
Performance Deliverable:	<input checked="" type="checkbox"/>	
Requirement Number(s):		
Methodology/Approach:	Other	If "Other," specify: Project Design document
Solicitation Number: (If applicable)		
Completed SOW Section:	23. System Installation	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 23 System Installation in the Statement of Work.	
Responsible:	Both	
Performance Deliverable:	<input checked="" type="checkbox"/>	
Requirement Number(s):	BNFM-01, BNFM-02;INFM-01	
Methodology/Approach:	Other	If "Other," specify: Project Design document
Solicitation Number: (If applicable)		
Completed SOW Section:	24. System Implementation or Integration	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 24 System Implementation or Integration in the Statement of Work.	
Responsible:	Both	
Performance Deliverable:	<input checked="" type="checkbox"/>	

Requirement Number(s):	BNFM-01, BNFM-02;INFM-01;DNFM-01 thru DNFM-20;SNFM-01 thru SNFM-71
Methodology/Approach:	Other documentation If "Other," specify: Project Design
Solicitation Number: (If applicable)	
Completed SOW Section:	26. System Testing and Acceptance Procedures
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 26 System Testing and Acceptance Procedures in the Statement of Work.
Responsible:	Both
Performance Deliverable:	<input type="checkbox"/>
Requirement Number(s):	SNFM-39; SNFM-41 thru SNFM-42
Methodology/Approach:	Other documentation, Vendor equipment testing documentation If "Other," specify: User Acceptance Test
Solicitation Number: (If applicable)	
Completed SOW Section:	27. Transition of Operation to new Contractor or State
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 27 Transition of Operation to new Contractor or State in the Statement of Work.
Responsible:	Contractor
Performance Deliverable:	<input type="checkbox"/>
Requirement Number(s):	PTM-01 thru PTM-14
Methodology/Approach:	Other and design documentation If "Other," specify: Project requirement
Solicitation Number: (If applicable)	
Completed SOW Section:	28. Knowledge Transfer and/or Training
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 28 Knowledge Transfer and/or Training in the Statement of Work.
Responsible:	Contractor
Performance Deliverable:	<input type="checkbox"/>
Requirement Number(s):	PTM-02 thru PTM-04;PTM-06;
Methodology/Approach:	Other and design documentation If "Other," specify: Project requirement
Solicitation Number: (If applicable)	
Completed SOW Section:	29. Maintenance and Operations (M&O)

SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 29 Maintenance and Operations in the Statement of work.	
Responsible:	Both	
Performance Deliverable:	<input type="checkbox"/>	
Requirement Number(s):	PTM-08 thru PTM-11	
Methodology/Approach:	Other documentation	If "Other," specify: Project and contract
Solicitation Number: (If applicable)		
Completed SOW Section:	32. Warranty	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 32 Warranty in the Statement of Work.	
Responsible:	Contractor	
Performance Deliverable:	<input type="checkbox"/>	
Requirement Number(s):	N/A	
Methodology/Approach:	Contractor developed the approach	If "Other," specify:
Solicitation Number: (If applicable)		
Completed SOW Section:	33. Service Level Agreements (SLAs)	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 33 Service Level Agreements in the Statement of Work.	
Responsible:	Both	
Performance Deliverable:	<input type="checkbox"/>	
Requirement Number(s):	DNFM-20; DNFM-70	
Methodology/Approach:	Other If "Other," specify: Project requirements documents	
Solicitation Number: (If applicable)		
Solicitation Number: (If applicable)		
Completed SOW Section:	34. Liquidated Damages	
SOW Component Detail: (See SIMM Section 180 SOW Guidelines for specific information)	Please see Section 34 Liquidated Damages in the Statement of Work.	
Responsible:	Both	
Performance Deliverable:	<input type="checkbox"/>	
Requirement Number(s):	N/A	

Activity	Solicitation Development Phase - Release Solicitation to Vendor Community		
Start Date	1/05/2021		
(If applicable)			
Solicitation Number: (If applicable)			
3.8.2 SOW Security Attributes	Yes	No	
1. Does the SOW provide details on the information security and privacy controls that are required (based on the NIST 800-53 controls)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
2. Does the SOW define how the information security and privacy controls will be procured and implemented?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
3. Does the SOW include provisions for creating the System Security Plan (SSP)? Already exists	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
3.9 Proposed Procurement Planning and Development Dates			
Activity	Planning and Development Phase - Mature Mid-Level Requirements		
Start Date	6/28/2019		
End Date	12/20/2019		
Number of Business Days	100		
Activity	Planning and Development Phase - SOW Development		
Start Date	12/05/2019		
End Date	11/09/2020		
Number of Business Days	270		
Activity	Solicitation Development Phase		
Start Date	12/05/2019		
End Date	11/13/2020		
Number of Business Days	260		
Activity	Solicitation Development Phase - Solicitation Sections		
Start Date	12/05/2019		
End Date	11/13/2020		
Number of Business Days	260		
Activity	Solicitation Development Phase - Develop Evaluation Team Procedures		
Start Date	1/06/2020		
End Date	2/14/2020		
Number of Business Days	30		

Insert Activity

3.10 Procurement Risk Assessments and Dependencies

	Yes	No	N/A
1. Has the Agency/state entity identified procurement-related external dependencies (e.g., supplier viability, stakeholder/customer legal constraints, ancillary contracts, other state or federal legislation)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
If "Yes," describe dependencies below:			
2. Has the Agency/state entity completed the State Contracting Manual Volume 3, Chapter 4, Section 4.B2.13 Risk Criteria Guidelines and incorporated financial protection measures for the primary solicitation?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
3. Does the Agency/state entity intend to maintain ownership of any source code developed for this solution?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
If "Yes," describe below how ownership will be obtained, maintained, and upgraded:			
4. Will this transaction be financed?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If "Yes," attach the approved State Financial Marketplace Compliance Certification form and agreement below.			
Attachment: (File Attachment)			

3.11 Procurement Administrative Compliance Checklist

(Questions may not be all-inclusive)	Yes	No	N/A
1. Has the Agency/state entity obtained approval from Department of General Services Procurement Division (DGS/PD) or Statewide Technology Procurement Division (STPD) to use an alternative evaluation model other than a 50/50 cost split? If "Yes," attach approval below.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Attachment: (File Attachment)			
If "Yes" or "No," below provide a brief description of the evaluation criteria proposed:			
2. Has the Agency/state entity received signed confidentiality statements from all project participants (internal and external)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

3. Has the Agency/state entity received signed conflict of interest statements from all project participants (internal and external)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
4. Has the Agency/state entity obtained an exemption from the Disabled Veteran Business Enterprise (DVBE) participation requirements and/or the DVBE participation incentive through an approved DVBE Waiver? If "Yes," attach the waiver below:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Attachment: (File Attachment)			
If "No," provide a brief explanation below:			
5. Does the Agency/state entity's solution requirements ensure compliance with the Information Technology Accessibility Policy (SAM Section 4833)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
6. Has the Agency/state entity completed and received approval of the SIMM Section 71 Certification of Compliance with IT Policies? If "Yes," attach the approved certification below.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Attachment: (File Attachment) _with CIO signature			
7. Has the Agency/state entity completed and received approval of a personal services contracts justification (Government Code Section 19130)? If "Yes," attach the approved justification below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Attachment: (File Attachment)			
8. Will the Agency/state entity's solicitation ensure compliance with productive use requirements?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3.12 Solicitation Readiness

	Yes	No	N/A
1. Has the Agency/state entity started development of a Bidder's Library?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. What evaluation methodology was selected for the primary solicitation?	Value Effective		
Explain the rationale for selection below:			

Pharmacy operations research including a Request for Information was executed to determine the recommended option for the primary solicitation.

This alternative solution proposes leasing hardware and software from a commercial off-the-shelf (COTS) vendor, the integration of pharmacy data from all five hospitals and the modifications to the existing application environment/architecture necessary to integrate with the new pharmacy system.

The scope of this alternative solution meets the stated business objectives with the following functionality: Inventory (medication) control, medication repackaging and dispensing, secure management of controlled medications, accurate patient claims information used for billing, pharmacy data integration across all five hospitals and modifications to the existing application environment and architecture so support new hardware and software.

Implementing this solution has the additional financial benefit of reduced initial costs and the advantage of having the vendor provide regular upgrades to the hardware and software as part of the leasing costs

3. Has the Agency/state entity started development of the evaluation (and selection) criteria for the primary solicitation?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
4. Has the Agency/state entity started development of the cost worksheets as part of the evaluation for the solicitation?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
5. Has the Agency/state entity started development of the bidder and key staff qualifications as part of the evaluation for the solicitation?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If "N/A," briefly explain below why bidder and key staff qualifications will not be included in the evaluation for the solicitation:

6. Has the Agency/state entity started development of the bidder and key staff references as part of the evaluation for the solicitation?

If "N/A," briefly explain below why bidder and key staff references will not be included in the evaluation for the solicitation:

Stage 3 Solution Development (Part A) – Department of Technology Use Only

Original "New Submission" Date 12/10/2020

Form Received Date 4/09/2021

Form Accepted Date 4/09/2021

Form Status Completed

Form Status Date 5/12/2021